



EUROPEAN UNION



#HorizonEU

# EUROPEAN INNOVATION ECOSYSTEMS

## Online Info Session

CALL HORIZON-EIE-2026-01

23 September 2025



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**THE EU RESEARCH & INNOVATION PROGRAMME 2021 - 2027**

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MODERATED BY

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**Daniel Gassmann**

Head of Sector, EISMEA Unit I.1



# AGENDA

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- 10:00** Introduction and Opening
- 10:05** Interconnected Innovation Ecosystem – policy context
- 10:15** Topic 1: European Network of national competence centers for innovation procurement
- 10:25** Q&A via Slido
- 10:45** Topic 2: Expanding Investment Ecosystems
- 10:55** Q&A via Slido
- 11:15** Topic 3: Scaling up deep-tech ecosystems
- 11:25** Q&A via Slido
- 11:45** Lump Sum Funding in practice
- 11:55** PIC registration and validation process
- 12:15** Closing remarks



# Interconnected Innovation Ecosystems (EIE) – policy context

HORIZON-EIE-2026-01

**Jekaterina NOVIKOVA**

Deputy Head of Unit, RTD.A5, Innovation Policy &  
Access to Finance



# Context: EU Startup and Scaleup Strategy

- **Goal:** Making Europe the best place for startups to launch their businesses and scaleup
- **Challenges:** fragmented markets, limited access to finance, regulatory barriers, uneven innovation capacity across the EU, difficulties in access to talent
- **Levers:**
  - Innovation-friendly regulation and single market simplification
  - Driving better financing and investment flows
  - Accelerating market uptake and expansion
  - Attracting and retaining talent
  - Facilitating access to infrastructure, networks and services

# EIE work programme: delivering the SSS

- **€0.5 billion budget** under Horizon Europe to create more connected, inclusive, and efficient innovation ecosystems
- **Holistic approach to R&I** focusing on the links between resources, organisations, investors and policymakers
- **Covers diverse ecosystem-building dimensions highlighted in the SSS**, including access to finance, experimentation practises, innovation procurement, gender equality and access to global chains for innovative companies
- **Bottom-up nature of the EIE calls** allows participants to be in driving seat in deciding on the specific challenges to address, in line with EU priorities
- **Highly international dimension** of the programme: In 2021-2024, EIE WP has funded projects involving 27 MS, 9 AC and 10 Third Countries

# European network of national competence centers for innovation procurement

- **Expected outcomes**
  - Expansion and intensification of innovation procurement support measures implemented by national innovation procurement competence centers across Europe
  - Enhanced capacity of public buyers to carry out PCPs and PPIs, leading to more PCP and PPI procurements being implemented nationally and across borders
  - Greater awareness and participation of innovative companies in procurements
  - Stronger policy makers' recognition of strategic importance of innovation procurement
- **Contribution to EU Startup and Scaleup Strategy / policy objectives**
  - Creating early markets for innovative companies (first customers)
  - Reinforcing EU strategic autonomy in strategic technologies

# Expanding Investment Ecosystems

- **Expected outcomes**
  - Better links between strong and emerging regions
  - More co-investments and mobilization of private capital
  - Enhanced access to markets and finance for startups and SMEs
- **Contribution to Startup and Scaleup Strategy / policy objectives**
  - Tackles financing gap for scaleups
  - Fosters cross-border investment flows and VC networks
  - Supports startups in deep tech and green/digital transitions



# Scaling up deep tech ecosystems

- **Expected outcomes**
  - Deep tech ecosystems around pan-European research infrastructures
  - Increased SME/industry involvement in RIs
  - Stronger innovation training
- **Contribution to Startup and Scaleup Strategy / Policy Objectives**
  - Builds world-class scaleup environments for deep tech
  - Bridges academia-industry gaps, enabling commercialization
  - Strengthens EU competitiveness in strategic sectors

# Topic 1: European Network of National Competence Centers for Innovation Procurement

HORIZON-EIE-2026-01-CONNECT-01

**Lieve BOS**

Policy Officer, RTD.A5

**Silvi SERREQUI**

Project Adviser, EISMEA I.01



# Policy Context

- Europe is still **underinvesting** in innovation procurement (only 10% of public procurement in EU, versus 20% in the US and 25% in South Korea\*)
- Not only insufficient adoption of innovative solutions but also insufficient public contracts that enable companies to **develop / test innovative solutions for clients** (5X less R&D proc contracts in EU vs US\*)
- **Lack of cross-border procurement** stifles cross-border growth of innovative companies
- Still lack of experience among public procurers on how to make their public procurements more innovation friendly
- **Awareness of innovative companies themselves** about the procurement market, in particular startups and SMEs, is also too low

\* [EU wide benchmarking of national innovation procurement policy frameworks and investments](#)

Unleashing innovation procurement potential in EU can create additional € 300 Bn of contracts for innovative companies

# INNOVATION ECOSYSTEMS WORK PROGRAMME 2025

CONNECT

Topic 1: European network of national competence centers for innovation procurement

Open: 10/09/25 Close: 20/01/2026

*This action aims to support setting a European wide network of National Competence Centres for innovation procurement, inspired by the previous Procure2innovate initiative, reinforcing its activities and extending them further to additional countries.*

*A National Competence Centre on Innovation Procurement is an organisation that has been assigned the task by a MS/AC to encourage the use of innovation procurement - such as providing practical and/or financial assistance to public procurers in the preparation/implementation of **Pre-Commercial Procurements (PCP)** & **Public Procurements of Innovative Solutions (PPI)** - across all sectors of public interest in its country.*

**Eligibility criteria:** at least fifteen (15) independent legal entities, established in different MS or AC

**Expected outcome:** a network of 10 existing national competence centers for innovation procurement + 5 public bodies that have the mandate to setup 5 new national competence centers for innovation procurement in 5 different Member States/Associated Countries, with at least 2 of the 5 new ones located in ‘emerging’ or ‘moderate’ innovator countries (as defined in European Innovation Scoreboard)

**Duration:** The expected duration of the action is **four (4) years**

# INNOVATION ECOSYSTEMS WORK PROGRAMME 2025

CONNECT



**Topic 1: European network of national competence centers for innovation procurement**

**Open: 10/09/25 Close: 20/01/2026**

## Activities are expected to:

- Intensify and expand the activities of existing competence centers, and create new competence centers
- Share experiences on pre-commercial procurement (PCP) and public procurement of innovative solutions (PPI) across Europe
- Promote Horizon Europe funding for PCP and PPI and synergies with European Structural Investment Funds (ESIF) funding to public procurers in cooperation with NCPs and support public procurers in launching such procurements
- Facilitate the creation of national / transnational buyer groups that ensure wider diffusion of innovations that result from innovation procurements + that start new joint innovation procurements on new topics, including on strategic technologies.
- Promote national innovation procurement business opportunities to innovative companies across other MS/AC, in cooperation with the EIC business acceleration services and the Enterprise European Network.
- Collaborate with national policy makers to develop and coordinate actions to mainstream PCP/PPI across Europe e.g. implementing action plans, targets, monitoring and incentivising schemes that encourage procurers to undertake more PCPs/PPIs.
- Maximize synergies with national and ESIF and focus the budget requested from HE on activities/partners that cannot be funded from ESIF or where no national funding is available.
- Cooperate with other HE funded initiatives on Innovation Procurement for maximizing impacts and synergies

# HORIZON-EIE-2026-01-CONNECT-01

- **Expected outcomes**
  - Expansion and intensification of innovation procurement support measures implemented by national innovation procurement competence centers across Europe
  - Enhanced capacity of public buyers to carry out PCPs and PPIs, leading to more PCP and PPI procurements being implemented nationally and across borders
  - Greater awareness and participation of innovative companies in procurements
  - Stronger policy makers' recognition of strategic importance of innovation procurement
- **Contribution to EU Startup and Scaleup Strategy / policy objectives**
  - Creating early markets for innovative companies (first customers)
  - Reinforcing EU strategic autonomy in strategic technologies

# Call Format

## Type of grant:

- Coordinating and Support Action (CSA)
- Lump Sum Funding

## Budget:

- €4.5M

## Indicative number of grants:

- 1 grant

## Expected duration:

- 4 years

# Administrative requirements

## Page limit for part B:

- 33 pages

## Main obligatory annexes:

- Part B of proposal
- Detailed budget table (accepted in Excel format)

See the call text for further specific administrative requirements.



# Topic 2: Expanding Investment Ecosystems

HORIZON-EIE-2026-01-CONNECT-02

**Radostina TSENOVA**

Policy Officer, RTD.A5

**Oliver KOZAK**

Programme Coordination Manager, EISMEA I.01



# Policy objectives

- Building on HORIZON-EIE-2022-SCALEUP-02-01: Expanding Investments Ecosystems
- Increased foreign (EU Member State or Associated Country) venture investments from funds from 'strong' or 'innovation leader' innovator regions and enabling later-stage growth for expansion to these regions of local start-ups from less connected and developed innovation ecosystems ('emerging" or 'moderate' innovator regions)
- Focus on scale up VC funding and market expansion
- More targeted sectors to build on common expertise

# Call specific goals

1. Increased **foreign venture investments** from funds from 'strong' or 'innovation leader' innovator regions and **enabling later-stage growth** for expansion to these regions of local start-ups from less connected and developed innovation ecosystems
2. Increased **foreign investors' awareness** and access to the **flow of local deals** from 'emerging' or 'moderate' innovator regions
3. Improved **foreign investors' knowledge on regulatory frameworks and networks/syndicates** to support joint cross-border venture investment in the above characterised underserved markets
4. Improved **start-ups' knowledge from 'emerging' or 'moderate'** innovator regions on market and regulatory frameworks and expectations from networks in foreign 'strong' or 'innovation leader' innovator region to support their expansion and access to funding in these regions;
5. Increased available and committed **venture capital** in less connected innovation ecosystems, enhancing their scale, diversity, breadth, openness and potential.

# HORIZON-EIE-2026-01-CONNECT-02

- **Expected outcomes**
  - Better links between strong and emerging region
  - More co-investments and mobilization of private capital
  - Enhanced access to markets and finance for startups and SMEs
- **Contribution to Startup and Scaleup Strategy / policy objectives**
  - Tackles financing gap for scaleups
  - Fosters cross-border investment flows and VC networks
  - Supports startups in deep tech and green/digital transitions

# Call Format

Type of grant:

- **Coordinating and Support Action (CSA)**
- **Lump Sum Funding**

Budget:

- **€5M**

Indicative number of grants:

- **5 grants**

Duration:

- At least **24 months** (see work programme)

# Eligibility criteria

Beneficiaries:

1. at least three (3) independent legal entities  
**from three (3) different Member States or Associated Countries**, of which
2. at least **one** (1) is established in a '**moderate**' or '**emerging**' innovator region and
3. at least **one** (1) in a '**strong**' or '**innovation leader**' innovator region.

Use latest version available on 10 Sep 2025:

1. Regional Innovation Scoreboard
2. European Innovation Scoreboard
3. Global Innovation Index
4. Horizon Europe programme country profiles

# Call specific requirements #1

Proposal is done by one of these:

- **business acceleration service** providers
- **investor** networks and clubs
- **innovation** hubs

Consortium partners must be from both:

- **emerging/less developed AND**
- **strong/leaders**

# Call specific requirements #2

At least 5 activities from:

1. **market orientation**/introduction programmes for **foreign investors**
2. **market orientation**/introduction programmes of developed markets for **local startups and funds**
3. **assistance to foreign investors** during the whole process of investment
4. **roadshow** to leading innovation hubs
5. organisation of European international business **forums, conferences and events**
6. establishing **cooperation** with public and private buyers, innovative companies, peer-matching of investors, networking activities
7. repository of **best practices** of market entry facilitation for foreign **investors**
8. repository of **best practices** of market entry facilitation for **startups** (from emerging/moderate to strong/leader)
9. list of **recommendations** for local authorities and European regulators



# Call specific requirements #3

Targeted **one or several** of the 5 burning challenges of the New European Innovation Agenda:

1. reducing the reliance on **fossil fuels**,
2. increasing global **food security**,
3. mastering the **digital transformation** (including cybersecurity),
4. improving **healthcare** and
5. achieving **circularity**

# Call specific requirements #4

## Encouraged:

- Works with **innovation agencies** and/or similar government organisations for startups
- Synergies with **Enterprise Europe Network**, other EU initiatives
- Synergies with **other projects** of this topic

Refer to the call text and Work Programme for full details on requirements.

# Administrative requirements

## Page limit for part B:

- 33 pages

## Main obligatory annexes:

- Part B of proposal
- Detailed budget table (accepted in Excel format)

See the call text for specific administrative requirements.

# Topic 3: Scaling up deep tech ecosystems

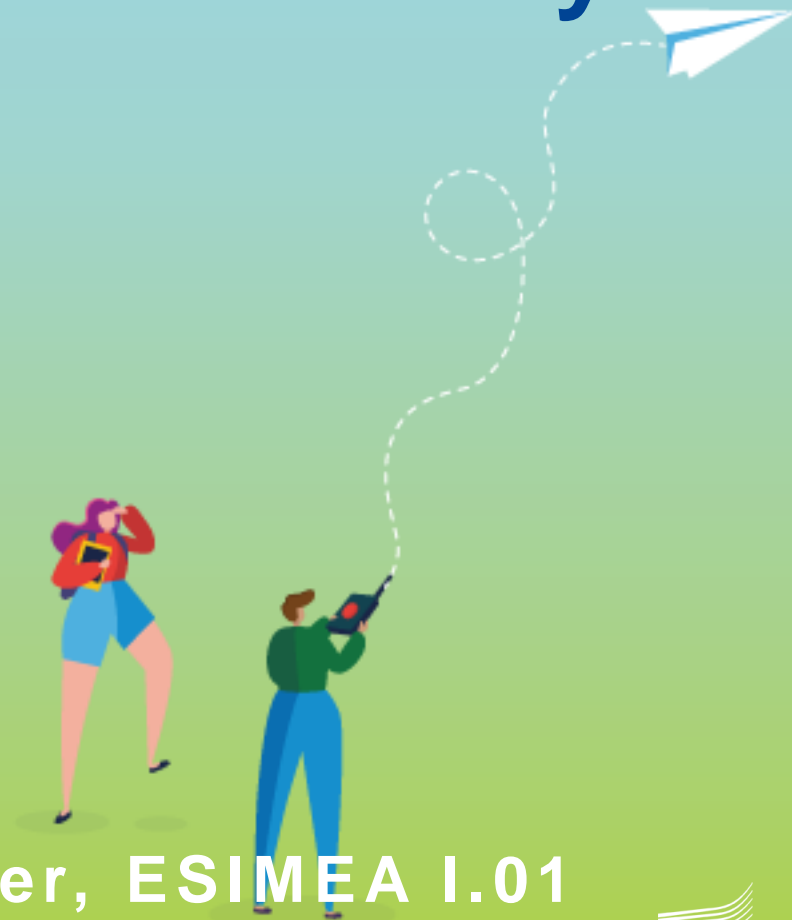
HORIZON-EIE-2026-01-CONNECT-03

**Cédric Adam**

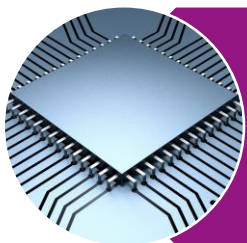
Policy Officer RTD.A5

**Oliver KOZAK**

Programme Coordination Manager, ESIMEA I.01



# Policy objectives



- Enables deep tech companies to tap into the potential of pan-European research infrastructures



- Enables the selection and funding of promising deep tech project with the potential to radically transform industries, economies, and lives



- Effectively supports the development of deep tech startups and spinoffs by helping to provide a favourable environment

# Policy rational: The potential of Deep tech ecosystems

- Deep tech is at the root of almost everything used in daily life
- By combining advanced technologies with a problem-driven approaches deep tech ecosystems provide new solutions to global challenges
- The innovation potential of deep tech ecosystems is supporting Europe's path to a more knowledge intensive economy



# Policy rational: The challenges of deep tech ecosystems



Long development cycle due to intensive research and development efforts

The diagram consists of three horizontal bars of different colors (purple, dark teal, and lime green) stacked vertically. To the left of each bar is a circle of the same color, connected to the bar by a thin line. The circles are arranged in a vertical line, with the top circle slightly offset to the left of the middle and bottom circles.

Sunk costs making access to finance more difficult

Need to access to specific resources especially research infrastructures and specific expertise

# Expected outcomes and impact measurement

## Expected outcomes:

- Successful deep tech projects based on research infrastructure utilisation
- Emergence of promising deep tech innovation
- Consolidation of the deep tech ecosystem

## Measuring Impact:

- Deep tech projects using infrastructures
- Innovative projects
- Ecosystem development: Deep tech spinoffs, startups and scale ups



# HORIZON-EIE-2026-01-CONNECT-03

- **Expected outcomes**
  - Deep tech ecosystems around pan-European research infrastructures
  - Increased SME/industry involvement in RIs
  - Stronger innovation training
- **Contribution to Startup and Scaleup Strategy / Policy Objectives**
  - Builds world-class scaleup environments for deep tech
  - Bridges academia-industry gaps, enabling commercialization
  - Strengthens EU competitiveness in strategic sectors

# Call Format

Type of grant:

- **Research and Innovation Action (RIA)**
- **Budget based** (Actual costs)
- **Financial Support to Third Parties (FSTP)**

Budget:

- **€5M**

Indicative number of grants:

- **1 grant**

# Call specific requirements

- Proposals should include at least one:
  - **ESFRI Landmark** or
  - **European Research Infrastructure Consortium (ERIC)** as beneficiary.
    - Distributed ERIC: one that is hosting one of its nodes
- At least **60%** of costs: FSTP (third parties)  
in practice: between €3M - €5M
  - Maximum amount granted to a third party: €500k (see WP)

# Call specific requirements #02

All of the following:

- Enhanced **development of deep tech ecosystems** around pan-European research infrastructures
- Increased **involvement of industry**, including SMEs, generate market opportunities, generate start-ups or spin-offs
- Improved **valorisation** of the socio-economic impact of **past investments**
- Innovation **training** and education

# Call specific requirements #03

Pilot the possibility to seed the development of innovation ecosystems in three to five technological areas.

Should:

1. identify deep tech innovations with **breakthrough potential** related to pan-European research infrastructures
2. assess the **feasibility and scalability** of the identified innovations
3. suggest a **selection and clustering** of those innovations for industrial implementation
4. run, evaluate **calls**
5. **assess the outcome** of the pilot grants

Refer to the call text and Work Programme for full details on requirements.

# Administrative requirements

Page limit for part B:

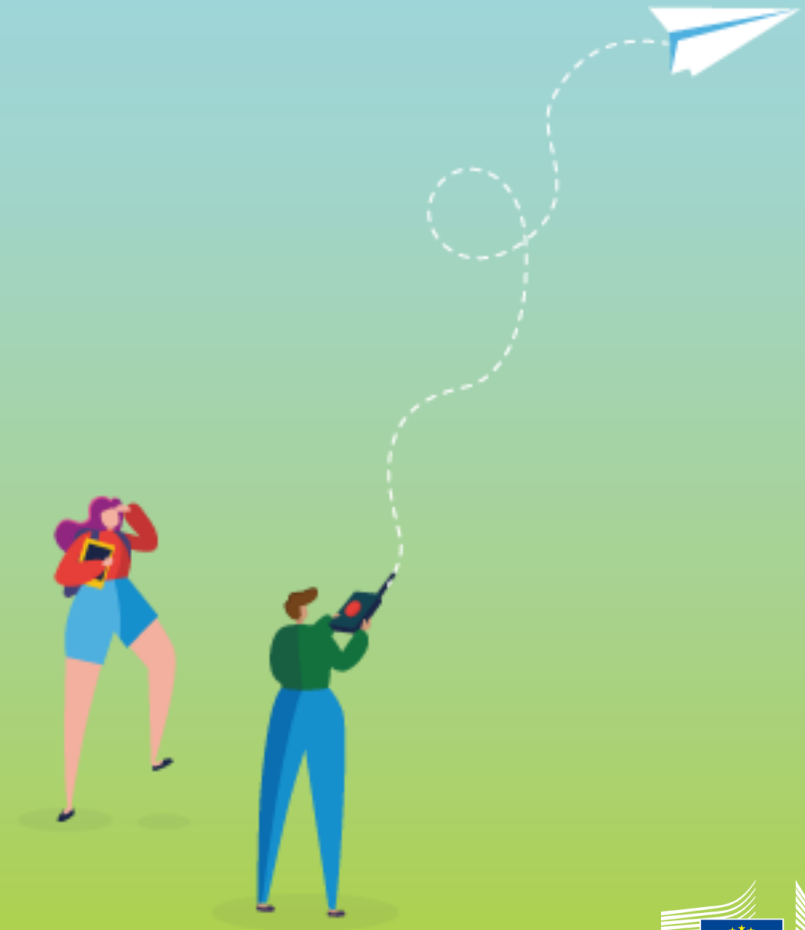
45 pages

Obligatory annexes:

- Part B of proposal
- Financial support to third parties
- A declaration signed by the legal representative of the ERIC

See the call text for specific administrative requirements.

# Lump Sum Funding in practice



# Why do we use lump sum funding?

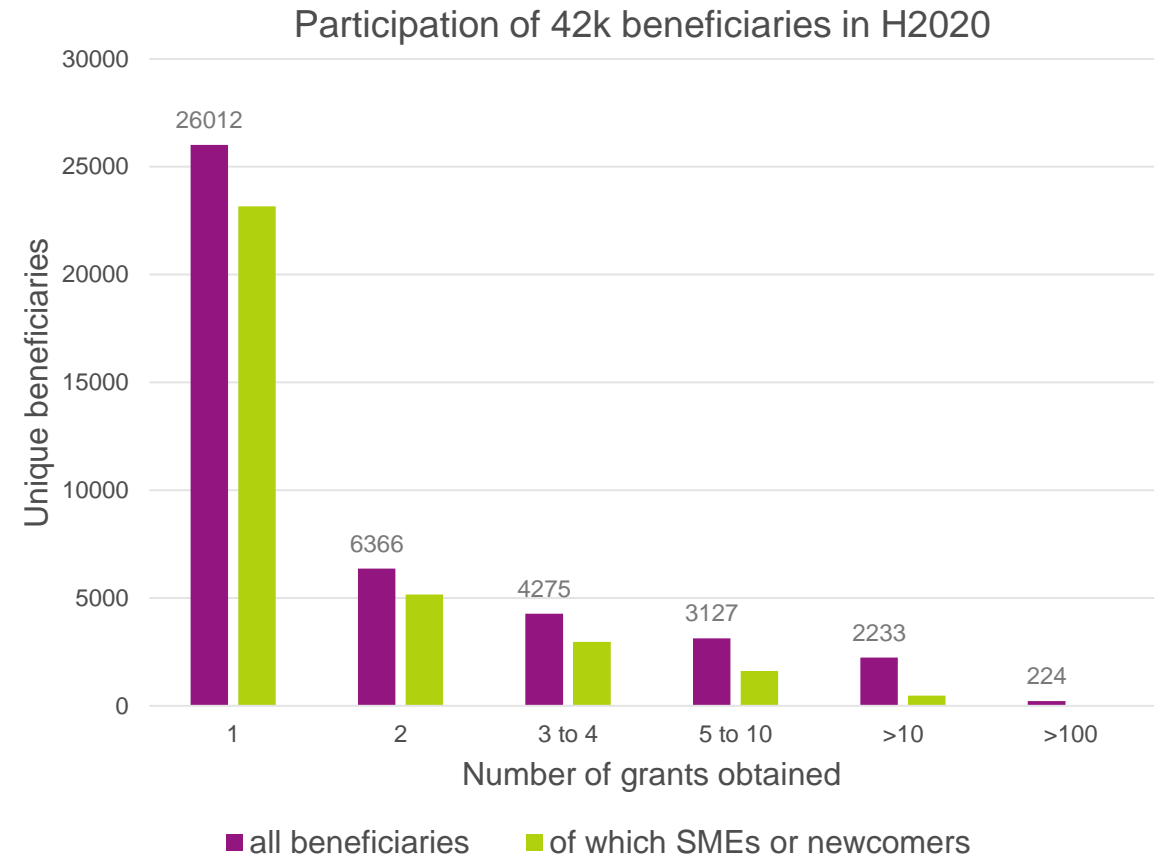
- Significant **simplification** potential
- Focus on **R&I content**
- Reducing the financial **error rate**
- Easier **access** to the programme



Vast majority of beneficiaries have only 1 or 2 grants per programme and need a simple funding model (see *graph*)

- Lump sums are **popular**:

Great majority of participants and experts agree that lump sums **reduce administrative burden** and **shift focus to project content**; SMEs and newcomers particularly positive (see [2024 assessment](#))





# Basic principles

- **Lump sum grants follow the standard approach as much as possible**  
Same: templates, evaluation criteria, payment scheme, technical reporting
- **One lump sum share per work package and beneficiary**
- **Very simple financial reporting; no financial audits**
- **Lump sum shares are paid upon completion of work packages**

	WP1	WP2	WP3	WP4	WP5	WP6	WP7	WP8	Total
Beneficiary A	250.000			50.000	300.000	250.000		300.000	1.150.000
Beneficiary B		250.000	350.000	50.000			100.000	150.000	900.000
Beneficiary C	100.000	100.000		50.000		280.000			530.000
Beneficiary D		120.000		50.000			100.000	150.000	420.000
<b>Total</b>	<b>350.000</b>	<b>470.000</b>	<b>350.000</b>	<b>200.000</b>	<b>300.000</b>	<b>530.000</b>	<b>200.000</b>	<b>600.000</b>	<b>3.000.000</b>

Shares of the lump sum per beneficiary

Share of the lump sum per WP

Lump sum = Maximum grant amount

# Writing a lump sum proposal

- To write a lump sum proposal, you:
  - use the standard Horizon Europe **application form**
  - present the **objectives and methodology** of your project and address the expected **outcomes and impacts** as in any Horizon Europe proposal
  - describe in **detail the activities covered** by each work package.
- To define and justify the lump sum, you need to provide a **detailed budget table** with cost estimations.
- The detailed budget table is an **Excel file**. You must download it from the online submission system, fill it and submit it as an annex to the Part B of your application form.

# Writing a lump sum proposal: budget details

- In the detailed budget table, you provide **cost estimations for each cost category** per beneficiary (and affiliated entity if any) and per work package.
- The cost estimations must be an **approximation of your actual costs**. They:
  - ✓ are subject to the same eligibility rules as in actual costs grants
  - ✓ must be in line with your normal practices
  - ✓ must be reasonable/not excessive
  - ✓ must be in line with and necessary for your proposed activities.
- **Add explanations/justifications**, as needed, in the “any comments” tab
- The cost estimations are used to generate in the detailed budget table a **breakdown of lump sum shares** per work package and per participant.
- Details and instructions on how to fill in the lump sum detailed budget table are provided on the [Funding & Tenders portal](#).

# Project design – Work packages

## Work package distribution



As many as needed but no more than what is manageable

A work package (WP) is a major **sub-division of the work** plan of your project.

- A single activity is not a WP
- A single task is not a WP
- A % of progress is not a WP (e.g. 50 % of the tests)
- A lapse of time is generally not a WP (e.g. activities of year 1)

Work packages with a long duration **may** be split along the reporting periods (e.g. Management, Dissemination and Exploitation, etc.). In this way, the relevant activities can be paid at the end of the reporting period.

Splitting WPs is entirely **optional**. It should be used if needed to optimise the cash flow.

# Evaluation of a lump sum proposal

- Evaluated by independent experts against the **standard evaluation criteria**: excellence, impact, and implementation.
- The cost estimations will be assessed against the proposed activities under the **implementation** criterion.
- Experts will:
  - ensure that the cost estimations are **reasonable and non-excessive**
  - evaluate whether the proposed resources and the split of the lump sum **allow completing the activities** described in the proposal.
- If the experts find overestimated costs, they make **concrete recommendations** on the budget that are recorded in the Evaluation Summary Report.  
This will be reflected in a modified lump sum amount in the grant agreement.
- If the lump sum budget needs to be modified, this **does not decrease your proposal's chances** of success.  
However, serious flaws in the proposed budget reduce the score.

# Resources available

One dedicated [lump sum page](#) on the Funding & Tenders Portal with:

## Video tutorials

- [Overview of lump sum funding](#)
- [Detailed budget table](#)
- [Horizon dashboard for lump sum evaluations](#)

## Guidance documents

- [What do I need to know? & Quick guide](#)
- [Frequently asked questions](#)
- [Detailed guidance for participants](#)
- [Lump sum briefing slides for experts](#)

## Reference documents

- [Model Grant Agreement Lump Sum](#)
- [Decision authorising the use of lump sum contributions under the Horizon Europe Programme](#)

## Studies

- [European Commission assessment](#) (Sep 2024)
- [European Commission assessment](#) (Oct 2021)
- [European Parliament \(STOA\) study on lump sums in Horizon 2020](#) (May 2022)

## Events

- Future events
- Past events and recordings



# Lump sum webinar on 2 October

## Targeting applicants and beneficiaries

- How do lump sums work?
- How to write a proposal?
- What to pay attention to?
- Q&A session

## No registration needed

- Details on the Funding & Tenders Portal → events
- [Lump Sum Funding in Horizon Europe: How does it work? How to write a proposal?](#)

Lump Sum Funding in Horizon Europe: How does it work? How to write a proposal? (02 October 2025)

### PAGE CONTENTS

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[Slido session](#)

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[» See all events](#)

02  
OCT

2025

10:00 - 12:00 CEST (BRUSSELS TIME)

**Lump Sum Funding in Horizon Europe: How does it work? How to write a proposal?**

- 10:00 **Welcome and Agenda**  
Ulrich GENSCHEL (RTD.H3), Head of Sector - Simplification of Horizon funding
- 10:10 **Lump Sum Funding in Horizon Europe: How does it work? How to write a lump sum proposal?**  
Ulrich GENSCHEL (RTD.H3) [\[presentation\]](#)
- 10:45 **Practical experience with lump sum grants**  
Panel discussion with invited guests moderated by Andreia CHICOS (RTD.H3)
- 11:15 **Coffee break**  
Participants can verify, ask and upvote questions via Slido
- 11:20 **Q&A session**
- 12:00 **End of the meeting**

For additional documents and links, please check dedicated lump sum page: [Lump Sum funding in Horizon Europe on the Funding & Tenders Portal](#)

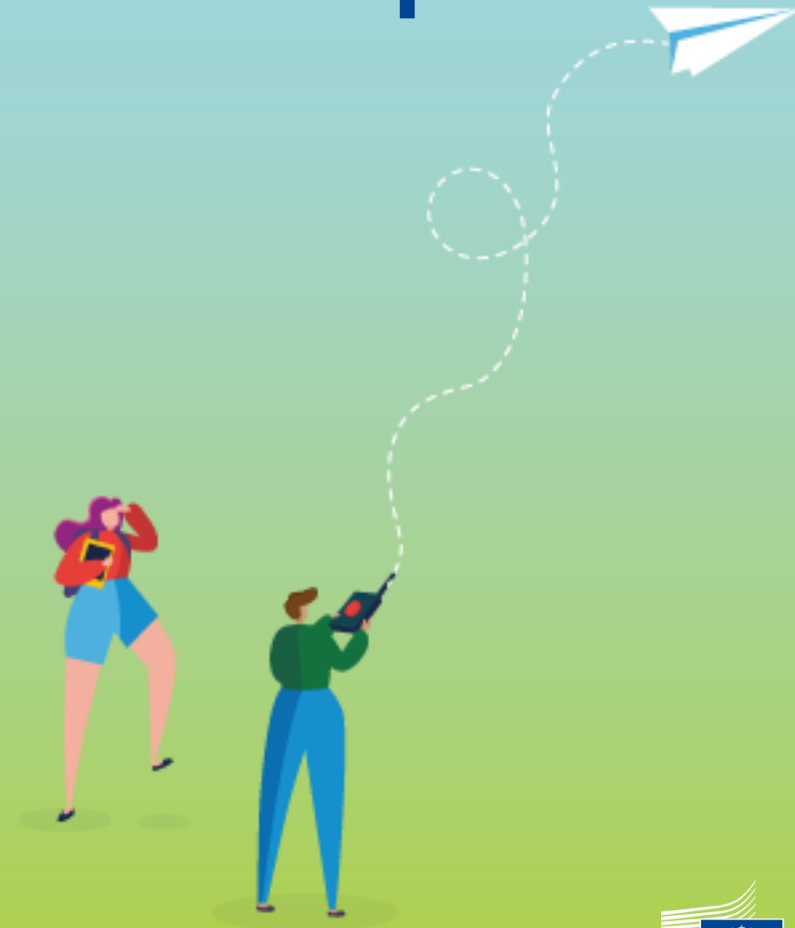
# PIC Registration and validation processes in practice

Sora RADU

REA D.04.004

Task Coordinator

Validation and Planning Team







# REA Central Validation Service

## Getting started - Registering your organization

# Presentation Outline

REA Central  
Validation Service

Registration of  
participants

Legal validation and  
Legal entity  
appointed  
representative  
(LEAR)

Communication

Guidance  
documents

# REA Central Validation Service

## (REA CVS)

- Verifies **legal existence and legal statuses** of entities
- Validates the appointment of **Legal Entity Appointed Representatives (LEARs)**
- Validates **legal changes** of validated entities
- Assesses **universal takeovers (UTROs)** of validated entities
- Encoding **Bank Account requests**
- Prepares the **Financial Capacity Assessment**
- Performs **ownership control assessments** for specific programmes
- Performs ex-post status verifications (e.g. **SME & MID cap status checks**)



Sign in


EN

Please check whether your organisation has already been registered. If so, no need to register it again.

 Find a registered organisation

To register your organisation or as a natural person, you need to login into the Portal or, if you are a new user, create your account.

Check what information you need to register in the Online Manual - and keep it to hand during the registration procedure. To start registration, click on the button below.

 **Register your organisation**

You can suspend the registration process at any time: the data entered can be saved as draft and you can continue your registration later. To return to your registration, please log in and get into My Organisation(s) in the My Area section. Incomplete, draft registrations are automatically deleted after one year.

Additionally, for actions where the SME status is an eligibility criterion (like, e.g. the EIC Accelerator) you must also carry out the SME self-assessment.

Should your project or tender be successfully evaluated, the registered data will be verified by the Central Validation Service team before the signature of the Grant Agreement or of the Framework Contract.

# How to register in the Participant Register

Participant's Register [Need help?](#)

1 2 3 4 5 6

Identification Organisation Data Legal Information Authorised Users Summary Success

Identification

Legal name \*  240

Registration country \*  50

Registration number  50

VAT number \*  20 ☐ not applicable 500

[Review the Form](#) [Next](#)

Identification

(e.g. Legal name, VAT number)



Organisation data



Legal information



Authorised users

(e.g. Name, e-mail address of the self-registrant and the back-up)

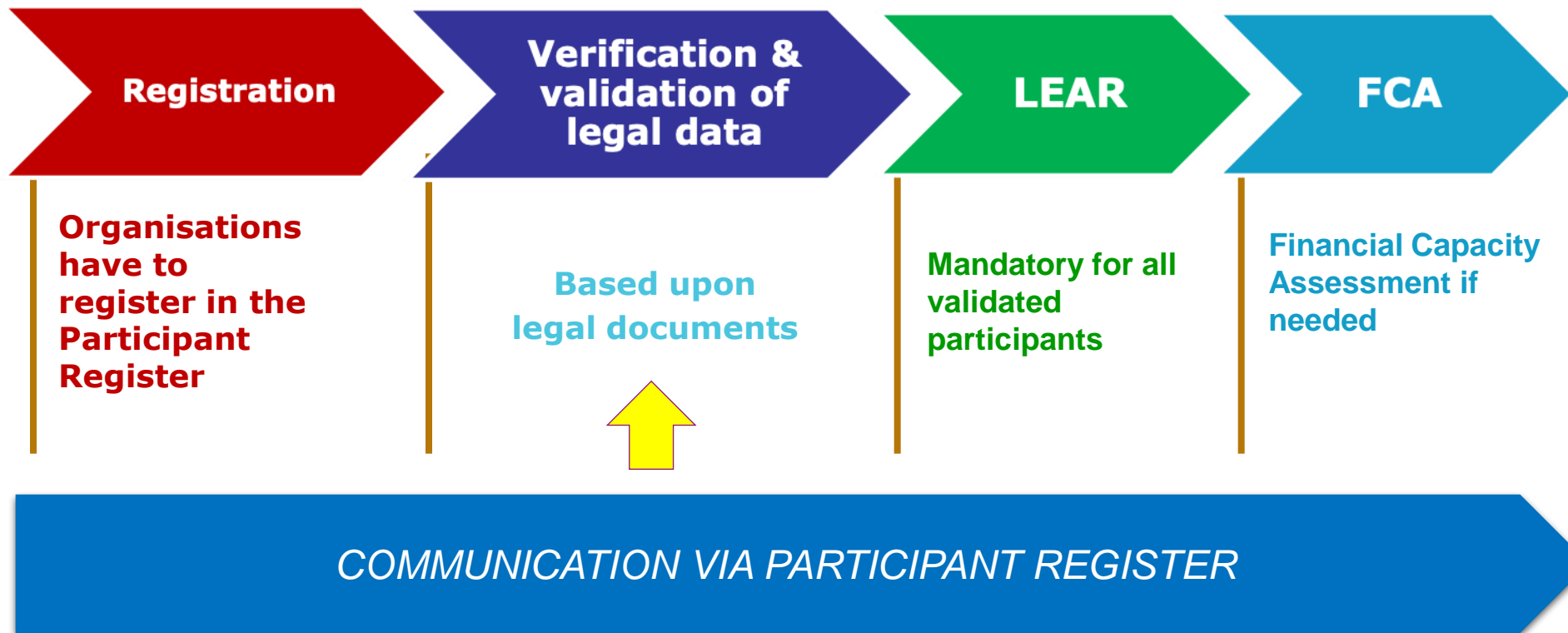


Registration completed



New Participant Identification Code (PIC)  
in a "declared" status

## Validation Process Overview



# Legal validation

- Registration data is verified by REA Central Validation Service before the signature of the Grant Agreement or the Contract
- The legal validation of a participant is done **once**, when the entity has to sign its first Grant Agreement or Contract and it is **reused** for future participations in EU grant and procurement actions
- Validation is always performed on the basis of supporting documents, in accordance to EU Financial Regulation and the Rules on Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment for EU Grants and Tenders

# Legal validation documents

- ✓ **VAT extract** (< 1 year)

- ✓ *If not registered for VAT – proof of VAT exemption*

- ✓ **Registration extract** (< 1 year) – for private law bodies

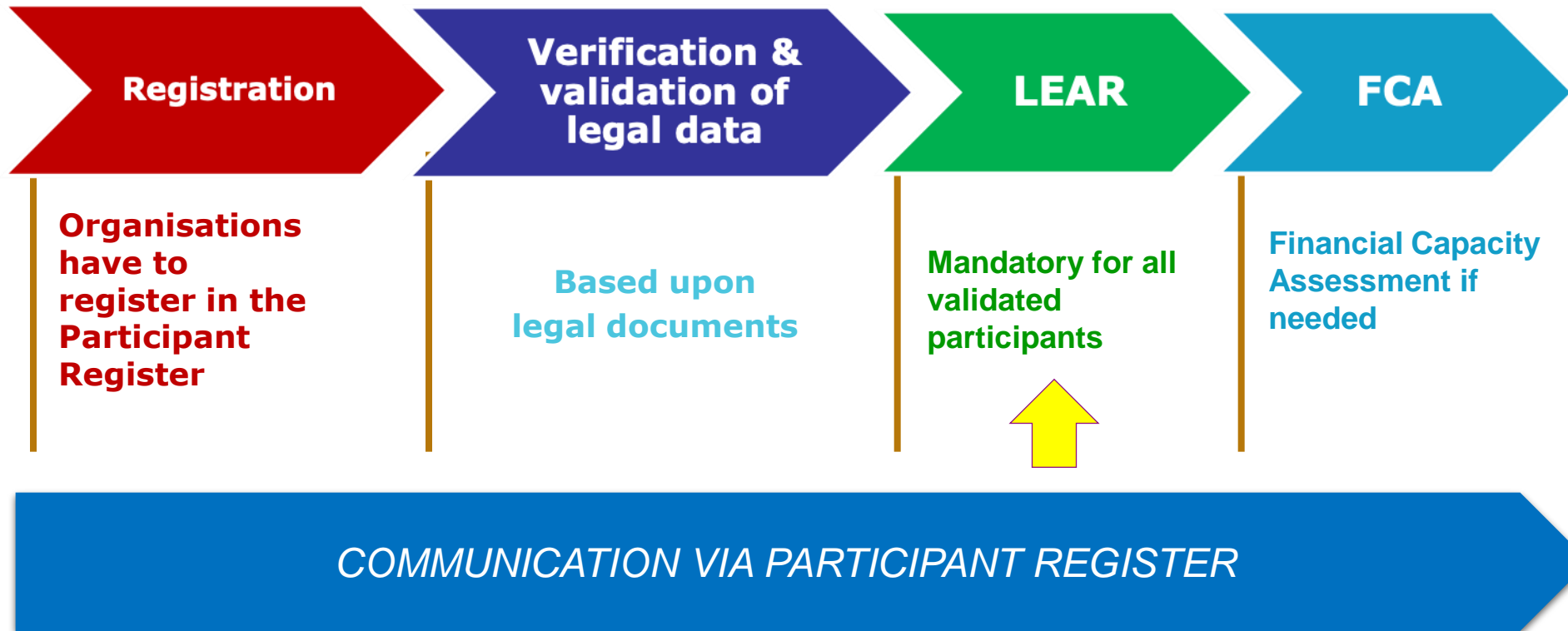
- ✓ **Law/decreed/decision** – for public law bodies

- ✓ **Treaty** – for international organisations

- ✓ **Statutes** – for non-profit organisations



## Validation Process Overview



# LEAR role and duties

The appointment of the Legal Entity Appointed Representative (LEAR) is mandatory:

The LEAR:

- Notifies the EU of changes in legal data/status
- Submits legal and financial documents if required
- Manages access rights of persons in the organisation (but *not* at the project level)
- Nominates 'Account Administrators' and individuals authorised to sign:
  - ✓ Contracts/grant agreements and their amendments (Legal signatories, i.e. **LSIGN**)
  - ✓ Financial statements (Financial signatories, i.e. **FSIGN**)

# LEAR appointment documents

1. LEAR appointment letter (duly signed by both the legal representative and the LEAR)
2. Official proof of identity (ID-card/passport with photo and signature) of the legal representative and the LEAR
3. Proof of empowerment of the legal representative

*Scanned version of these documents shall be uploaded in the Participant Register. Original of the LEAR appointment letter (1) must be kept in the entity's premises.*

# Communication

(e.g. request to submit legal documents or to appoint a LEAR)

**Messages are notified via e-mail** to the contact person  
(i.e. self-registrant or the appointed LEAR)

European Commission <EC-NO-REPLY-GRANT-MANAGEMENT@nomail.ec.europa.eu>

to me ▼

## Europa / Funding & Tenders Portal notification

Dear User,

You have been granted the role of **Self Registrant** for the organisation arquicios.

In order to access your organisation data on the Funding & Tenders Portal/Supplier portal, you need to log in on the F&T Portal/Supplier Portal. If you did not have an EU Login yet, it was launched automatically for a separate e-mail with a hyperlink to finalise your account.

For more information on the Funding & Tenders Portal roles, please refer to the [Online Manual](#) if you participate in the [programmes managed on the Funding & Tenders Portal](#).

For more information on the roles for e-Procurement, please refer to the [e-Procurement wiki page](#), if you participate in a tender call.

With kind regards,

EU Single Electronic Data Interchange Area - F&T Portal team

This email has been auto-generated. Please do not reply to this account. Your email will not be read. For any inquiries please contact the Grants Procurement Systems Support +32 (2) 29 71063 or [EC-FUNDING-TENDER-SERVICE-DESK@ec.europa.eu](mailto:EC-FUNDING-TENDER-SERVICE-DESK@ec.europa.eu).

**All communication is exclusively managed through the Participant Register**

# Access lost to a declared or valid PIC

## **Declared PIC**

- In case the self-registrant left the organisation and no one has access to a declared PIC – a new PIC needs to be created and REA CVS informed

## **Valid PIC**

- If the LEAR is not available anymore and there are no Account Administrators, a new LEAR needs to be appointed – LEAR recovery procedure

<https://ec.europa.eu/research/participants/urf/lear-recovery/request/>

# Guidance documents



Rules on Legal validation, LEAR appointment and financial capacity assessment:  
[https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/rules-lev-lear-fca\\_en.pdf](https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/rules-lev-lear-fca_en.pdf)



How to register in the Participant Register:  
<https://webgate.ec.europa.eu/funding-tenders-opportunities/display/OM/Online+Manual>



Online Manual, IT How to, IT and RES Helpdesk and specific FAQs on the Funding and Tenders Portal:  
<https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/support>



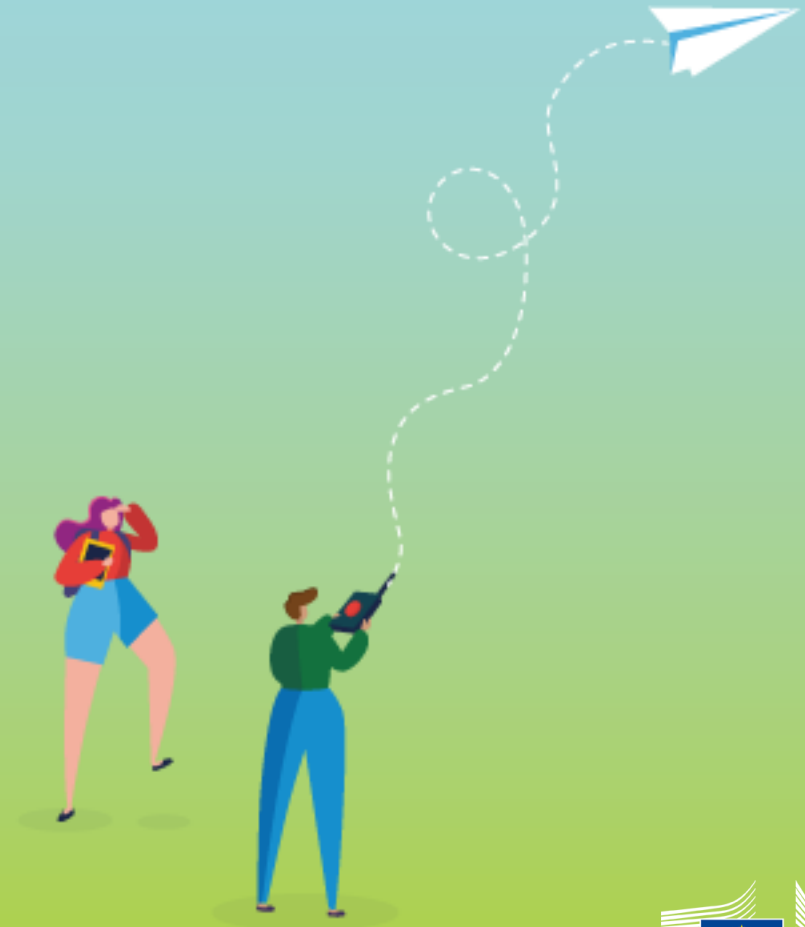
Legal notice on the Funding and Tenders Portal (terms and conditions, data protection):  
<https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/legalnotice>

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# Closing remarks

**Daniel Gassmann**

Head of Sector, EISMEA Unit I.1





# Thank you!

## Got questions? Contact as at

[EISMEA-EU-ECOSYSTEMS@ec.europa.eu](mailto:EISMEA-EU-ECOSYSTEMS@ec.europa.eu)  
[European Innovation Ecosystems website](#)

**#EUInnovationEcosystems   @EU\_EISMEA**



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