The European Research Council

ERC Info Session Webinaire, 4 July 2023

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ERC in Figures: After 15 Years



Over **11,000** top researchers funded since the ERC creation in 2007



Over **80,000** researchers and other professionals employed in ERC research teams



Over **2,200** patents and other IPR applications generated by ERC funding



Over 400 start-ups identified as founded or co-founded by ERC grantees



Over **200,000** articles from ERC projects published in scientific journals



Over **890** research institutions hosting ERC grantees – universities, public or private research centres in the EU or Associated Countries



87 nationalities of grant holders





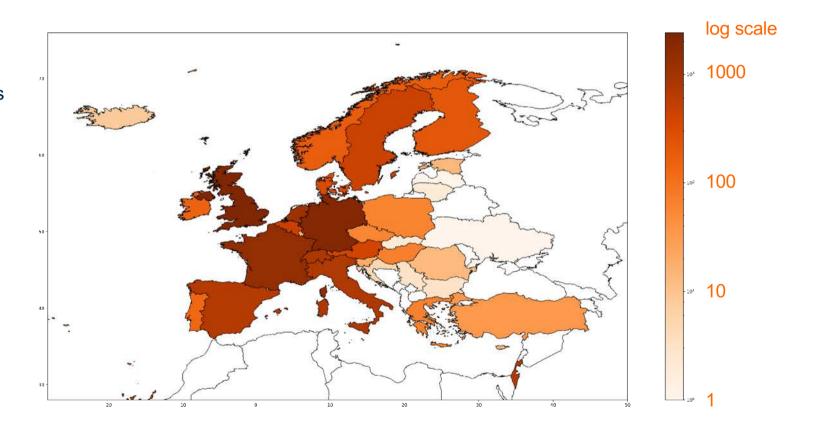
ERC-funded projects by country of Host Institution

1,558 ERC main grants based in France

Total grant value over 2.8 billion euro

Success rate: 15%

Host countries as of 28/03/2023







Outline

Part 1 – Grant schemes in WP2024

Part 2 - Eligibility & Application

Part 3 – Evaluation

Part 4 – Statistics



Provisional Call Calendar – Work Programme 2024

	Starting Grant	Consolidator Grant	Advanced Grant	Synergy Grant	Proof of Concept Grant
Call opens	11/07/2023	12/09/2023	29/05/2024	12/07/2023	16/11/2023
Call deadline (cut-off date)	24/10/2023	12/12/2023	29/08/2024	08/11/2023	14/03/2024 17/09/2024
Budget (m EUR)	601	584	578	400	30





ERC funding schemes: 2024 Calls



Starting Grant

Size of the grant: up to €1.5 million + up to €1 million

Duration: up to 5 years

Completion of the first PhD (defence date):

2-7 years prior to 1 January 2024



Consolidator Grant

Size of the grant: up to €2 million + up to €1 million

Duration: up to 5 years

Completion of the first PhD (defence date):

7-12 years prior to 1 January 2024



Advanced Grant

Size of the grant: up to €2.5 million + up to €1 million

Duration: up to 5 years

An excellent scientific track record of recognized achievements in the last 10 years





ERC funding schemes



Synergy grant

Size of the grant: €10 million + up to €4 million

Duration: up to 6 years

Be composed of 2 to 4 researchers and their research groups (one researcher can be based outside EU/AC)



Proof of Concept

Size of the grant: €150 000

Duration: up to 18 months

Demonstrate that the idea funded by the original ERC grant has innovation potential and significant economic or societal benefits





Additional funding

Up to € 1M for Starting / Consolidator / Advanced grants

Up to € 4M for Synergy grants

Additional funding can be requested to cover the costs below:

- (a) "start-up" costs for Principal Investigators moving to the EU or an Associated Country from elsewhere as a consequence of receiving the ERC grant and/or
- (b) the purchase of major equipment and/or
- (c) access to large facilities and/or
- (d) other major experimental and field work costs, excluding personnel costs.





WP2024 Novelties I – Panel Reorganisation New panel structure

New Panel – SH8 – Studies of Cultures and Arts

 combines cultural studies, art history, architecture, music, performing arts, museum studies, cultural heritage and other fields (from SH5) with social anthropology (from SH3)

LS3 – Cell Biology, Development, Stem Cells and Regeneration

 new title to make it explicit that both stem cell and regeneration biology fields are addressed

LS5 – Neuroscience and Disorders of the Nervous System

o new sub-title ("In humans and all other organisms") to make it explicit that the panel covers research in humans, as well as in other organisms



WP2024 Novelties II – Research Assessment

- No prescriptive Principal Investigator profiles
- New Curriculum Vitae and Track Record template (4 pages):
 - Personal details: education, employment
 - Research achievements* (<=10):
 - demonstrating advancement in the field
 - emphasis on more recent achievements
 - Peer recognition: prizes, fellowships, academy membership, etc.
 - Additional information:
 - career breaks, diverse career paths, life events
 - other contributions to research community
- * Applicant may provide a **short narrative** describing the scientific importance of the research outputs and the role played by the Principal Investigator in their production.





WP2024 Novelties III - Research Assessment

Evaluation of ERC grant proposals: what to expect in 2024 | ERC (europa.eu)

- Evaluation primarily focused on the proposed research project:
 - Ground-breaking nature, ambition, and potential impact
 - Feasibility of the scientific approach
- Intellectual capacity, creativity, and commitment of the PI also evaluated
- Streamlined evaluation questions



WP2024 Novelties IV – Evaluation

Evaluation:

- No budget multiplier in StG, CoG, AdG: up to 44 proposals per panel in Step 2
- New A-score at Step 1 (StG, CoG, AdG, SyG):
 - 'A invited' high quality proposals to pass to Step 2
 - 'A not invited' high quality proposals exceeding the threshold for Step 2, but not subject to resubmission restrictions
- New resubmission restriction: applicants selected for funding and preparing a grant agreement in a 2023 ERC call, may not apply to StG, CoG, AdG in 2024 ERC calls



WP2024 Novelties V – Lump Sum Funding (Advanced Grant)

- Pilot lump sum model for the Advanced Grant call:
 - A lump sum contribution for the entirety of the project defined upfront
 - Payment based on completion of activities and not on successful outcome
 - Additional funding and portability available



Work Programme 2024 – Summary of Novelties

FOCUS ON RESEARCH



- Groundbreaking
- Ambitious
- Feasible

Assessment

- Up to 10 research outputs
- Short narrative
- Career breaks, diverse paths

No prescriptive PI profiles

- Up to 44
 proposals in step 2 (exc. SyG)
- 'A not invited' can reapply next year



Evaluation Procedure

- AdG only
- One amount
- Payment based on the work done (not success)
- Additional funding and portability

Lump Sum Pilot

- New Panel –SH8
- Changes in description of LS3/LS5 panels

Panels





Evaluation panel structure

Physical Sciences & Engineering

- PE1 Mathematics
- PE2 Fundamental Constituents of Matter
- PE3 Condensed Matter Physics
- PE4 Physical and Analytical Chemical Sciences
- PE5 Synthetic Chemistry and Materials
- PE6 Computer Science and Informatics
- PE7 Systems and Communication Engineering
- PE8 Products and Processes Engineering
- PE9 Universe Sciences
- PE10 Earth System Science
- PE11 Materials Engineering





Life Sciences

- LS1 Molecules of Life: Biological Mechanisms, Structures and Functions
- LS2 Integrative Biology: From Genes and Genomes to Systems
- LS3 Cellular, Developmental and Regenerative Biology => Cell biology, Development, Stem Cells and Regeneration
- LS4 Physiology in Health, Disease and Ageing
- LS5 Neuroscience and Disorders of the Nervous System
- LS6 Immunity, Infection and Immunotherapy
- LS7 Prevention, Diagnosis and Treatment of Human Diseases
- LS8 Environmental Biology, Ecology and Evolution
- LS9 Biotechnology and Biosystems Engineering

Social Sciences and Humanities

- SH1 Individuals, Markets and Organisations
- SH2 Institutions, Governance and Legal Systems
- SH3 The Social World and Its Interactions
- SH4 The Human Mind and Its Complexity
- SH5 Texts and Concepts
- SH6 The Study of the Human Past
- SH7 Human Mobility, Environment, and Space
- SH8 Studies of Cultures and Arts

ERC Panel descriptors - example

SH6 panel - The Study of the Human Past

Archaeology and History

SH6 1 Historiography, theory and methods in history, including the analysis of digital

SH6 2 Classical archaeology, history of archaeology, social archaeology

SH6 3 General archaeology, archaeometry, landscape archaeology

SH6 4 Prehistory, palaeoanthropology, palaeodemography, protohistory, bioarchaeology

SH6_9 Modern and contemporary story
SH6_10 Colonial and posterolonial bio

'H6_11 Global history

16_10 SH6 11 Global history, transnational history, comparative history, entangled histories

SH6 12 Social and economic history

SH6 13 Gender history, cultural history, history of collective identities and memories, history of religions

SH6_14 History of ideas, intellectual history, history of economic thought

SH6_15 History of science, medicine and technologies





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Who can apply?

- Researchers of any: Age, nationality, current place of work
- Host Institution in the European Union or in one of the associated countries



StG and CoG - Eligibility criteria

« PhD eligibility reference date » rule (already in place for 2023 calls):

The reference date towards the calculation of the eligibility period shall be the certified date of the successful defence of the PhD. Document provided by the awarding institution.

- Allows for the eligibility window to reflect the real academic age instead
 of the "administrative age" of the PI.
- Puts an end to confusion about "award according to national rules" brings clarity to applicants.





2024 Calls: StG and CoG: Extension of the Eligibility windows

- For documented situations occurring before the call deadline -
- Maternity leave: +18 months for each child born before/after the date of the successful defence of their PhD degree;
- Paternity leave: documented time taken for each child born before or after the date of the successful defence of PhD degree;
- ➤ Long-term illness including disability (>90 days for the PI or a close family member) or national service: documented amount of leave taken for each incident that occurred after the date of the successful defence of PhD degree;
- Clinical training: documented amount of clinical training received after the reference date of the first eligible degree, up to a maximum of 4 years;
- Natural disaster and seeking asylum: documented time of PI's inability to work





ERC Proposal structure

In step 1 only part B1 is reviewed.

Applicants submit their proposal via the common submission system linked to the Funding and Tenders Portal (SEP submission)

Administrative forms (Part A)

- 1 General information
- 2 Administrative data of organisations
- 3 Budget and ressources description
- 4 Ethics & Security
- 5 Call specific questions*
- 1-4 ERC keywords are selected, panels are not defined at submission

Annexes

Commitment of all the Host Institutions, PhD certificate (StG & CoG), ethics documentation, etc.

* Maximum 3 reviewers can be excluded

Part B1 (submitted as pdf) Evaluated in Step 1 & Step 2

a – Extended synopsis 5 pages

b - Curriculum vitae & Track-record 4 pages

Part B2 (submitted as pdf)

<u>NOT</u> evaluated in Step 1 (only in Step 2)

Scientific proposal 15 pages

- a State-of-the-art and objectives
- b Methodology
- c Funding ID



Choose your panel in Part A (Administrative form)

- Descriptors are not exhaustive (not a classification)
- Any topic/field is equally welcome, whether mentioned in panel structure or not
- Descriptors and free keywords used by panel chair to assign reviewers ->
 choose carefully
- If proposal is across panels: reviewers from both panels used. Explain why
 proposal is "cross-panel" in Part B1





Part B1

- Highlight the ground-breaking character
- Risk mitigation
- Also for generalists who should easily identify the ground-breaking nature and feasibility of the proposal
- Feasibility (scientific approach)

At Step 1: only Part B1 is evaluated (by panel members and cross-panel reviewers (if needed))





References do not count towards the page limits

Part B2 (max. 14 pages) Reviewed at Step 2 by Panel members + external reviewers

- Do not repeat the synopsis
- Extensive methodology and work plan. Show that you know the alternative methodologies and argue why your choice is the best.
- Go into more detail with the state of the art
- Provide alternative strategies to mitigate risks
- Explain involvement/profiles of team members
- Justify requested resources Part A (check coherence of budget figures, justify requested resources, based on the research proposed)
- Ask funding for Open Access –full open access venues (mandatory) Part A





Funding ID and references do not count towards the page limits

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Organisation of the ERC evaluations

Each panel

- 15-16 members (selected by the ScC)
- Thematic distribution
- Rules: geography, gender...
- Contract: 4 calls (odd/even years)
- Renewal : 25% per year

Role of the Agency representative (Scientific Officer)

- Technical support
- Ensure there is no conflict of interest
- Close coll. with panel members
- Ensure no bias in the evaluation
- Ensure only ERC criteria are applied

Garantee an evaluation based only on the scientific quality of the projects (no thematical, geographical bias, ...)

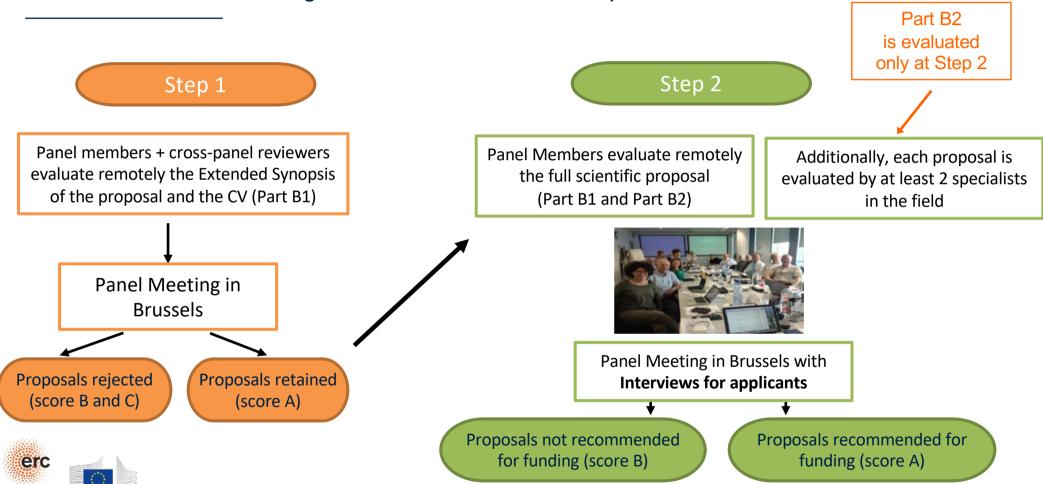
~1400 panel members/year - ~600 days of meetings/year - ~700 new projects ERC/year





How are the ERC proposals evaluated

For individuals calls: a single submission but a two-step evaluation



Key points

- Do not work right up to the deadline: it can undo weeks/months of hard work (e.g. submission of early draft, connection failure, IT malfunctions)
- Remember that Part B1 will be seen by "generalists" (panel members)
- If you make it to Step 2, reviewers (including specialists external reviewers) see both B1 and B2, so do not duplicate part B1 in part B2.
- Do not include unnecessary partners and collaborators; it is not a "consortium"





Contrary to what you may think

- ERC funds "frontier research", including applied research.
- The **budget** is distributed among the scientific panels as a function of demand.
- The panel descriptors do not represent ERC scientific priorities.
- The success rate is virtually flat across the eligibility window (StG, CoG).
- Publication record is not decisive in selection decisions.
- The Host Institution is not an evaluation criterion.







Four main tips

- 1. Consult the Information for Applicants
- 2. Register early on the F&T Portal
- 3. Contact the ERCEA and ask all your questions to the call coordinators well ahead of the submission deadline
- 4. Get the written consent of your collaborators before the submission deadline (a simple email exchange is OK)





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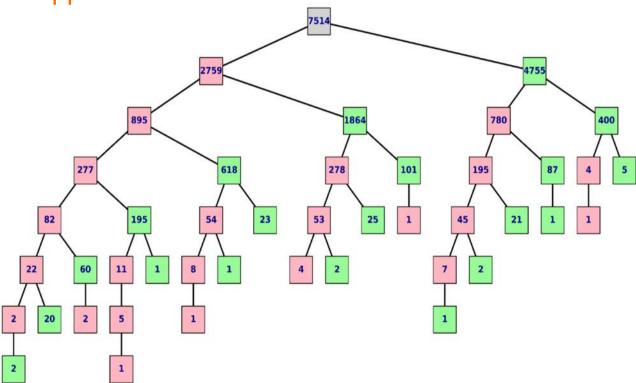




ERC grantees 2007-2017 :Applications before and after funding 7514 grantees

Unsuccessful application

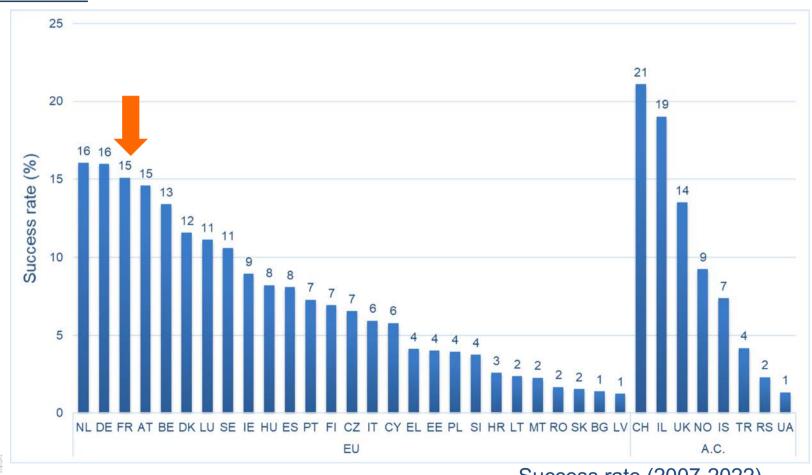
Successful application







Success rate by country of Host Institution





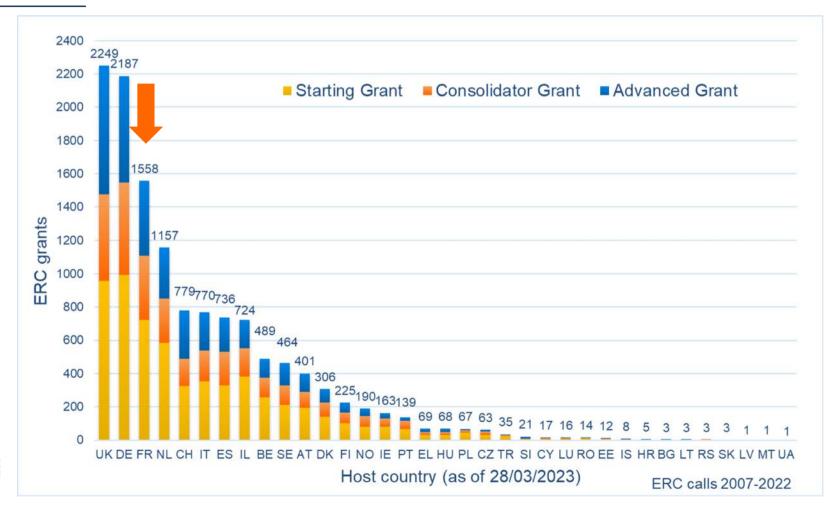


Success rate of Host Institution in France by domain

Success rate (%)	FP7	H2020	HE
LS	15.5	14.8	16.9
PE	15.1	14.8	19.5
SH	16.5	10.4	16.5
Total	15	14	18



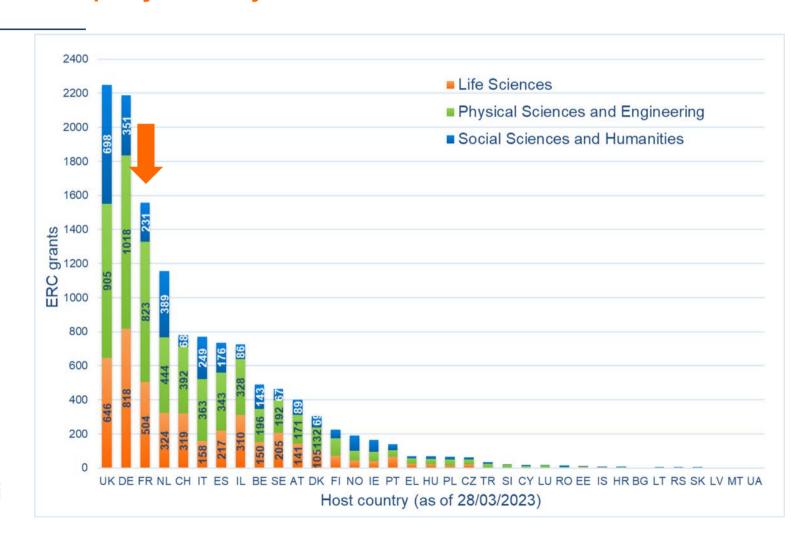
ERC-funded projects by country of Host Institution



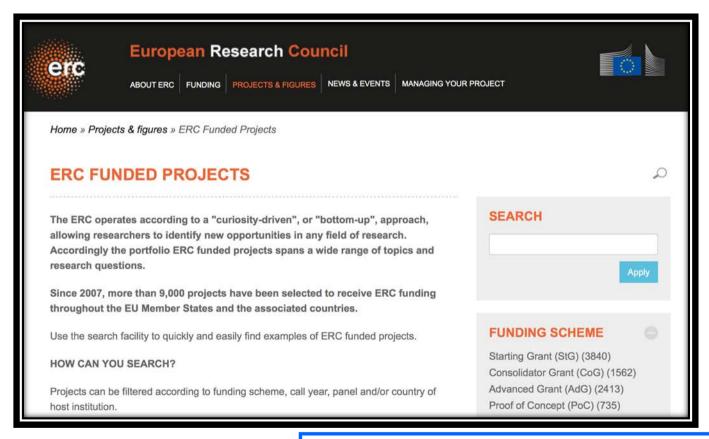




ERC-funded projects by domain



Get inspired by browsing through the ERC-funded projects on our website – https://erc.europa.eu







ERC Contact Information

StG: ERC-2023-STG-APPLICANTS@ec.europa.eu ERC-2024-STG-APPLICANTS@ec.europa.eu

CoG: <u>ERC-2023-COG-APPLICANTS@ec.europa.eu</u> <u>ERC-2024-COG-APPLICANTS@ec.europa.eu</u>

AdG: <u>ERC-2023-ADG-APPLICANTS@ec.europa.eu</u> <u>ERC-2024-ADG-APPLICANTS@ec.europa.eu</u>



Thank You!

More information: erc.europa.eu

National Contact Point: erc.europa.eu/national-contact-points

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Funding & Tender Opportunities: https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/home

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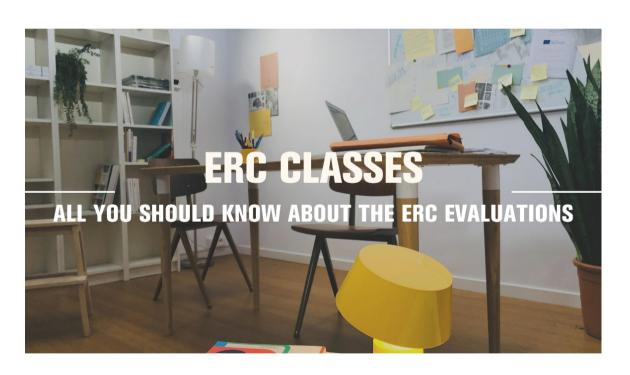


https://www.youtube.com/c/EuropeanResearchCouncil





Where can you find more information?



Videos - ERC Classes

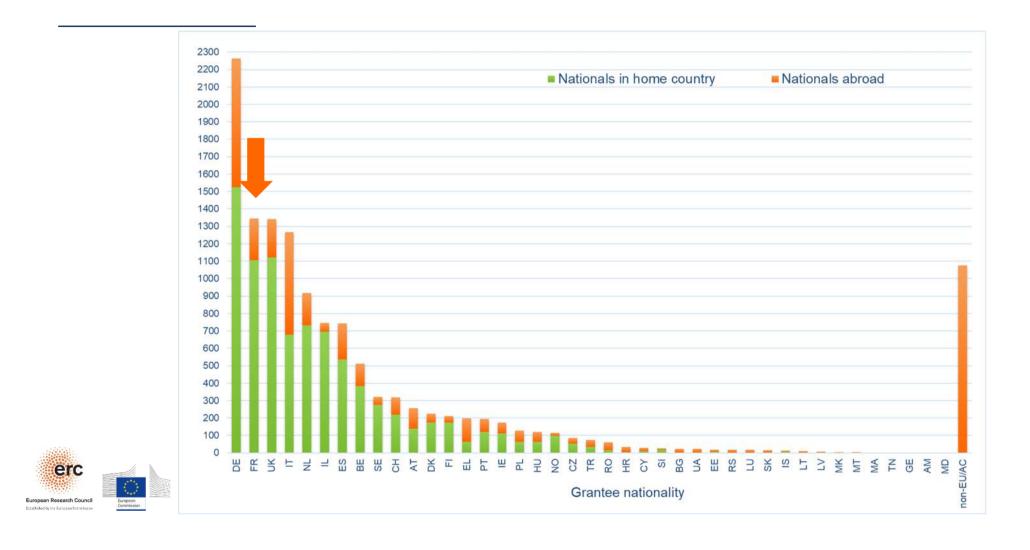
- What to consider before applying
- How to fill in the application (Part B1 and B2)
- The interview
- How the evaluation works

https://www.youtube.com/watch?v=x bFbzkVWgCU&list=PLtv6FnsXqnXA YRk6HCErwMxwML0ZKoMcy

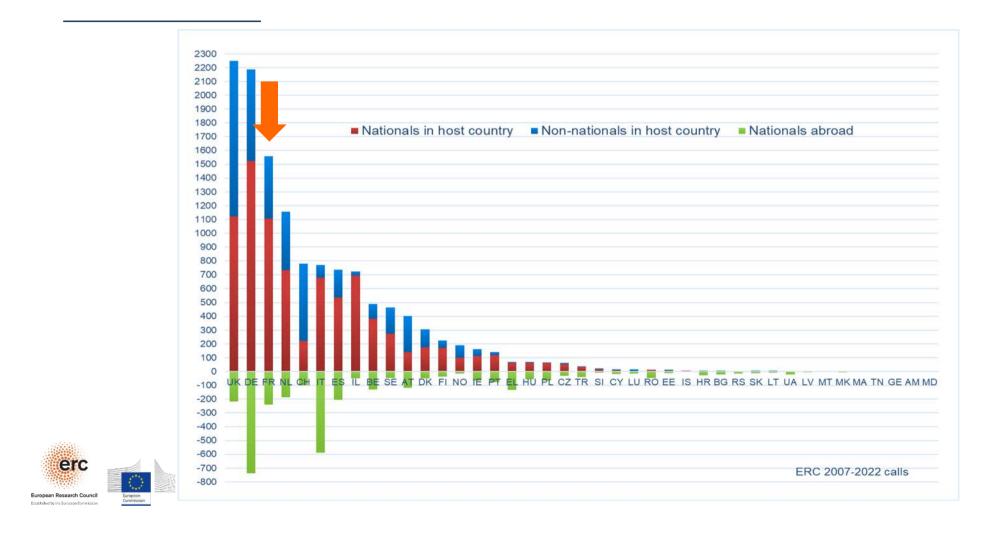




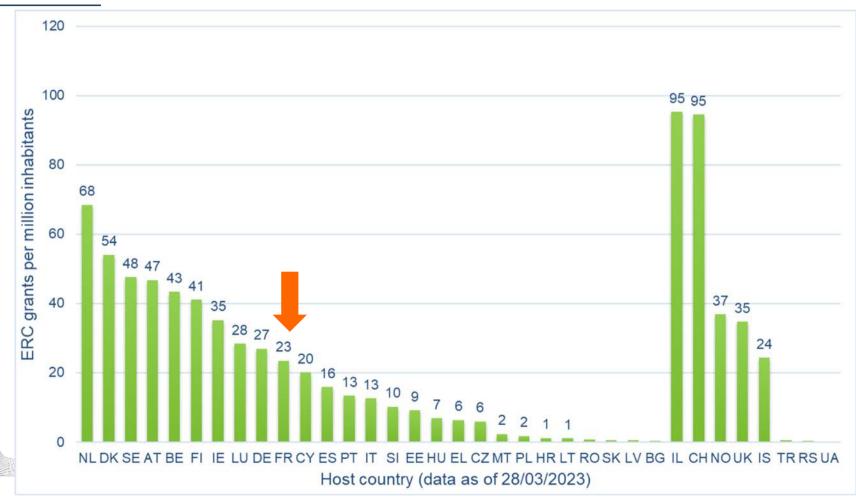
Nationals at home and abroad



Grantees at home and abroad



ERC grants per population





Top host institutions in France

	StG	CoG	AdG	Grand Total
Grand Total	724	381	453	1558
National Centre for Scientific Research (CNRS)	331	184	174	689
National Institute of Health and Medical Research (INSERM)	66	47	33	146
French Alternative Energies and Atomic Energy Commission	50	14	22	86
National Institute for Research in Computer Science and Automatic Control (INRIA)	38	8	19	65
Pasteur Institute	25	11	14	50
Sorbonne University	12	16	7	35
Curie Institute	16	4	10	30
Sciences Po	12	7	5	24
French National Institute for Agricultural Research	10	4	7	21
Normal Superior School (ENS)	10	2	9	21
Toulouse School of Economics	8	3	9	20
University Grenoble Alpes	4	9	7	20
University of Lyon 1 (Claude Bernard)	10	3	5	18
Polytechnic School - Palaiseau	10	5	2	17
Other	122	64	130	316





ERC Work Programme 2024

- ERC Work Programme 2024 approved by the Scientific Council in February 2023
- European Commission to adopt the ERC Work Programme 2024 in early July 2023
- The current budget appropriations **EUR 2.16bn** include the amount originally agreed in the Multiannual Financial Framework + fines + EFTA country contributions
- Additional funding from Associated Countries of some EUR 120m is expected (+ any increase due to successful participation in 2022 calls)



Association Agreements

Signed and Ratified Albania, Armenia, Bosnia and Herzegovina, Faroe Islands,

Georgia, Iceland, Israel, Kosovo*, Moldova, Montenegro, North Macedonia, Norway, Serbia, Tunisia, Türkiye, and

Ukraine

Transitional Morocco, New Zealand (Pillar II only),

Arrangements United Kingdom

Under Negotiation Canada, Republic of Korea

Special Cases Lichtenstein, Switzerland: not associated to Horizon Europe

Russia: Cooperation with public entities or related bodies halted





^{*} This designation is without prejudice to positions on status, and is in line with UNSC 1244 and the ICJ Opinion on the Kosovo declaration of independence

Novelties VI – Additional Clarifications

- Time Commitment: any working time spent working for an international European research organisation counts as time spent in an EU Member State/Associated Country
- Eligibility for funding team members in third countries: same for all ERC calls
- Equipment as capitalised cost: must be clearly listed and justified in the proposal



Novelties VII – Complementary Funding and Other Actions

Complementary Funding:

- Proof of Concept two cut-off dates instead of three
- Biennial Public Engagement with Research Award up to six prizes of EUR 10,000 each to be awarded

Other Actions:

 Support to programme monitoring and evaluation (thematic analyses and impact studies)

Public Procurement:

 Support and technical assistance for proposal evaluation, the establishment of ERC Archives, and data management for programme evaluation and monitoring



2024 calls: Starting and Consolidator grant profiles

BENCHMARKS

StG	CoG
should have already shown evidence of the potential for research independence, (for example having produced at least one important publication as main author or without the participation of their PhD supervisor).	should have already shown evidence of research independence.

 Applicant may provide a short narrative describing the scientific importance of the research outputs and the role played by the Principal Investigator in their production.

Ethics and Security

You can contact directly the ERCEA ethics team: ERC-ETHICS-REVIEW@ec.europa.eu

Ethics issues

- 1. Human embryonic stem cells (hESCs) & human embryos
- 2. Research involving humans
- 3. Human cells or tissues
- 4. Personal data
- 5. Animals
- 6. Non-EU countries
- 7. Environment, health and safety
- 8. Artificial intelligence
- 9. Other ethics issues

Security issues

- 1. EU classified information
- 2. Potential misuse of results
- erc 3. Use of information/materials subject to national security restrictions

Eligibility check

All proposals are checked against the following eligibility criteria:

- Submission before the deadline,
- Completeness (presence of all requested components)
- Eligible Principal Investigator: PhD restriction resubmissions
- Eligible Host Institution: in EU or Associated Sates or International European Interest Organisation or JRC

In case of eligibility extensions: all documents are checked.

If necessary, contact with Principal Investigator or Host Institution
If the question is not clear-cut, an eligibility review committee is convened.





Evaluation criteria

Research Project

- Ground breaking nature
- Potential impact
- Scientific Approach

Principal Investigator

- Intellectual capacity
- Creativity
- Expertise
- Multi- or interdisciplinary proposals cross boundaries between different fields of research, or
- Pioneering proposals addressing new and emerging fields of research, or
- Proposals introducing unconventional, innovative approaches and scientific inventions.





DORA

Updated guidance on the track record for applicants

In light of the DORA principles, the ERC Scientific Council integrated the following advice in the ERC Work Programme:

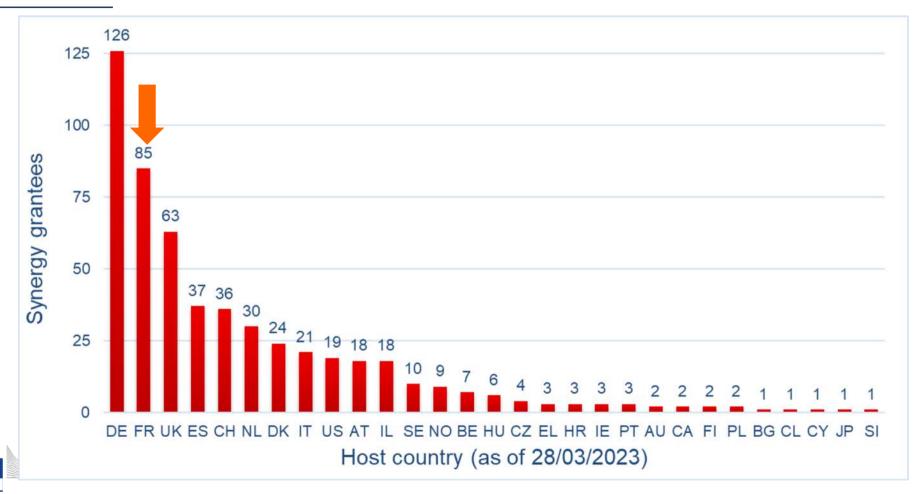
- Journal Impact Factor: not accepted anymore among the field relevant bibliometric indicators that may be included as part of the publications track record;
- Principal Investigators can provide a short narrative describing the scientific importance of the research outputs and the role played by the Principal Investigator in their production.

https://sfdora.org/resource/european-research-council-erc/





Synergy grantees





ERC Proof of Concept 2011-2022

